

The Honorable John H. Chun

UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

FEDERAL TRADE COMMISSION,

Plaintiff,

v.

AMAZON.COM, INC., *et al.*,

Defendants.

CASE NO. 2:23-cv-0932

**[PROPOSED] STIPULATED RULE  
502(D) ORDER**

1. NON-WAIVER

IT IS ORDERED that pursuant to Fed. R. Evid. 502(d), the production of any documents, electronically stored information (ESI) or information, whether inadvertent or otherwise, in this proceeding shall not, for the purposes of this proceeding or any other federal or state proceeding, constitute a waiver by the producing party of any privilege applicable to those documents, including the attorney-client privilege, attorney work-product protection, or any other privilege or protection recognized by law. This Order shall be interpreted to provide the maximum protection allowed by Fed. R. Evid. 502(d). The provisions of Fed. R. Evid. 502(b) do not apply. Nothing contained herein is intended to or shall serve to limit a party's right to conduct a review of documents, ESI or information (including metadata) for relevance, responsiveness and/or

1 segregation of privileged and/or protected information before production. Documents or  
2 information produced in discovery that is protected as privileged or work product (“Privileged  
3 Documents”) shall be immediately returned to the producing party.

4 2. RECEIPT OF INADVERTENTLY PRODUCED PRIVILEGED DOCUMENTS

5 In the event that a receiving party discovers that it received documents or information it  
6 knows or reasonably should know are inadvertently produced Privileged Documents, it shall  
7 provide written notice to the producing party identifying the subject documents or information  
8 within 14 calendar days of discovery by counsel.

9 3. CLAWBACK PROCEDURE

10 In the event that a producing party discovers that it produced Privileged Documents, it shall  
11 provide written notice of the claim to the receiving party (a “Clawback Notice”) identifying the  
12 subject Privileged Documents within 14 calendar days of their discovery by counsel or notification  
13 under Section 2. The Clawback Notice shall include a privilege log (in the format agreed by the  
14 parties) listing the item(s) produced and, if the producing party claims that only a portion of the  
15 Privileged Documents contain privileged or otherwise protected material, a new copy of the  
16 document redacted to protect only the portion(s) claimed to be privileged or work product.

17 4. PROCEDURES FOLLOWING CLAWBACK NOTICE

18 4.1 No Challenge: Within ten calendar days of receipt of a Clawback Notice (unless  
19 the receiving party challenges the producing party’s claim of privilege as set forth below), or a  
20 determination by the Court following a challenge that documents produced are Privileged  
21 Documents, the receiving party must promptly return and/or destroy the Privileged Document, all  
22 copies thereof, and any notes that reproduce, copy, or otherwise disclose the substance of the  
23 information for which privilege is claimed, and notify the producing party when this is complete.

24 4.2 Challenge: If a receiving party challenges a claim that a document specified in a  
25 Clawback Notice is privileged or work-product-protected:

26 (i) The receiving party shall notify the producing party of its challenge (and

1 the reasons therefor) within ten calendar days of receiving the Clawback Notice  
2 asserting the claim. The receiving party shall sequester the challenged document,  
3 all copies thereof, and any notes that reproduce, copy, or otherwise disclose or use  
4 the substance of the information for which privilege is claimed, until the claim has  
5 been resolved.

6 (ii) Within seven calendar days of the producing party's receiving notification  
7 of the challenge, the parties shall meet and confer in an effort to resolve their  
8 disagreement. If the parties are unable to reach agreement, within ten business days  
9 of the meet and confer, the parties shall submit a joint discovery letter to the Court,  
10 submitting the issue for a determination and/or for *in camera* review. The  
11 document(s) listed in the Clawback Notice shall not be used or disclosed by the  
12 receiving party during the time in which the parties are meeting and conferring  
13 about the privileged nature of the document(s) or during the time in which the  
14 challenge is before the Court for determination, unless otherwise agreed in writing  
15 by the parties or ordered by the Court. The producing party shall bear the burden  
16 of establishing the privileged or protected nature of the document(s).

17 (iii) Nothing herein shall prevent the receiving party from preparing a record  
18 for its own use containing the date, author, addresses, and topic of the challenged  
19 materials and such other information as is reasonably necessary to identify the  
20 challenged materials and describe their nature to the Court in any joint discovery  
21 letter regarding the challenge.

22 5. PROCEDURES DURING DEPOSITIONS AND HEARINGS

23 5.1 If, during a deposition, a producing party claims that a document being used in the  
24 deposition (e.g., marked as an exhibit, shown to the witness, or made the subject of examination)  
25 contains material that is privileged or protected work product, the producing party may (a) allow  
26 the document to be used during the deposition without waiver of any claim of privilege or other

protection; (b) instruct the witness not to answer questions concerning the parts of the document containing privileged or protected material; or (c) object to the use of the document at the deposition to the extent the entire document is privileged or work-product-protected, in which case no testimony may be taken relating to the document during the deposition until the matter is resolved by agreement or by the Court. If the producing party allows the examination concerning the document to proceed consistent with this paragraph, all parties shall sequester all copies of the document(s) in dispute. As to any testimony subject to a claim of privilege or work product, the producing party shall serve a Clawback Notice within fourteen calendar days of the deposition, after which the parties shall follow the procedures set forth in Section 4. Pending determination of the clawback dispute, all parties with access to the deposition transcript shall treat the relevant testimony in accordance with Section 4.

5.2 If a receiving party uses a document or other information in a brief or at a hearing and the producing party has not served a Clawback Notice as to those materials in advance of the briefing event or hearing, the producing party shall serve a Clawback Notice within fourteen calendar days of filing of the brief or date of the hearing if claiming that any materials used by the receiving party are attorney client privileged or protected work product. Thereafter, the procedures set forth in Section 4 shall apply.

PURSUANT TO STIPULATION, IT IS SO ORDERED.

DATED this            day of            , 2023.

JOHN H. CHUN  
UNITED STATES DISTRICT JUDGE

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